

Student: _____

Reviewer: _____

Date: _____

**Rubric for Assessing PhD Students' Finance Knowledge and Skills
(PhD Dissertation Proposals/ PhD Seminar Papers)**

Dimensions	Research Question and Design	Data Analysis	Contribution to Knowledge
3 - Accomplished	Student demonstrates ability to formulate a significant research question. Student develops a research design that uses a novel or cutting edge method or approach to the problem.	Student demonstrates strong programming skills, knowledge of financial databases, retrieval of data, and analysis of large data sets. Analysis is elegant and/or data are new or original.	Student raises an original idea with the potential for significant contribution to the literature. The student's original idea is positioned well within the literature.
2 - Competent	Student demonstrates ability to formulate a modest research question of some value. Student develops a research design that uses standard techniques to approach the problem.	Student has sufficient programming skills and dataset knowledge for the project. Analysis is sufficient and/or standard data are used.	Student raises an original idea that makes a minor contribution to the literature. The student's original idea is not optimally positioned within the literature.
1 - Novice	Student does not demonstrate the ability to formulate a meaningful research question. Student employs incorrect or outdated techniques to develop a research design.	Student has insufficient programming skills and dataset knowledge for the project. Student is unable to access data without assistance. Analysis is insufficient and/or data are inappropriate.	Student research question does not make a contribution to the literature. The student's research question is not clear.
Score (circle one)	1 2 3	1 2 3	1 2 3
			Total -